

Sumitomo Mitsui Financial Group, Inc.  
Sumitomo Mitsui Banking Corporation  
Nikko Cordial Securities Inc.

## **Notice Concerning Change of Subsidiary's Trade Name**

Tokyo, September 28, 2010 --- Sumitomo Mitsui Financial Group, Inc. (SMFG, President: Teisuke Kitayama; SMFG and its subsidiaries and affiliates collectively referred to as "SMFG Group") and Nikko Cordial Securities Inc., a consolidated subsidiary of Sumitomo Mitsui Banking Corporation (SMBC, President: Masayuki Oku) announced today that the Board of Directors of Nikko Cordial Securities, at the extraordinary meeting held today, approved the change of its trade name to as follows, on the premise of the passage of the resolution at its shareholders' meeting.

### **1. Company Profile**

Name:	Nikko Cordial Securities Inc.
President & CEO:	Eiji Watanabe
Head office:	3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo
Business:	Financial transactions and other services
Capital stock:	JPY 10 Billion
Date of establishment:	June 15, 2009

### **2. New Trade Name**

SMBC Nikko Securities Inc.

### **3. Reason for the Name Change**

Nikko Cordial Securities Inc., which became a wholly owned subsidiary of SMBC on October 1, 2009, has been expanding its retail and wholesale securities businesses in collaboration with SMBC as the SMFG Group's core securities company.

Nikko Cordial Securities will change its trade name in order to clarify its position as a member of SMBC-centered SMFG group, and will offer high value-added financial services to clients through pursuing synergies with other

SMFG Group companies both domestic and overseas.

4.Scheduled Date of Name Change

April 1, 2011

5.Logo



SMBC日興証券