

January 10, 2014
SMBC Nikko Securities Inc.

Notice concerning Relocation of Branch

SMBC Nikko Securities Inc. announces that the Company's Matsumoto Branch will be relocated as follows:

1. Name of branch: Matsumoto Branch
2. New address: 1-2-11, Fukashi, Matsumoto, Nagano, 390-0815, Japan
(Current address: 2-2-10, Ote, Matsumoto, Nagano, 390-0874, Japan)
3. Phone number(Main switchboard): +81-263-36-5511 (No change)
4. Start of operation at new location: Monday, February 17, 2014
The last business day at the current location is on Friday, February 14, 2014.

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