



November 9, 2016 SMBC Nikko Securities Inc.

## Personnel Change (Concurrent Management Responsibilities)

SMBC Nikko Securities Inc. today announces personnel change as follows.

1. Concurrent Management Responsibilities (Effective as of November 8, 2016) (GMT)

Takahiro Yazawa	Executive Officer, Senior Deputy Head of International Business of SMBC Nikko Securities Inc., Executive Director and Chairman
	of SMBC Nikko Capital Markets Limited

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This material is an English translation of Japanese announcement made on November 9, 2016. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.