

(NEWS RELEASE)

April 24, 2019 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

- 1. Personnel Changes (Effective as of April 24, 2019)
- (1) Retirement of Executive Director

Jun Ohta

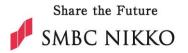
(2) Appointment of Executive Director

Toru Nakashima

- 2. Personnel Change (Effective as of May 1, 2019)
- (1) Appointment of Statutory Auditor

Seiichiro Ito

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This material is an English translation of Japanese announcement made on April 24, 2019. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.