

(NEWS RELEASE)

June 17, 2019 SMBC Nikko Securities Inc.

Organizational and Personnel Changes

SMBC Nikko Securities Inc. announces organizational and personnel changes as follows.

- 1. Organizational Change (Effective as of June 17, 2019)
 - (1) Board of Directors Office
 - ·Board of Directors Office is established.
- 2. Changes in Directors and Executive Officers (Effective as of June 17, 2019)

Yoshihiko Shimizu	President and CEO				
Masashi Iwakigawa	Director and Deputy President				
Akira Inoue	Director and Senior Managing Executive Officer				
Yuichiro Kondo	Director and Senior Managing Executive Officer				
Kazuhiro Notsu	Managing Executive Officer, Head of Corporate Planning and Head of Board of Directors Office				
Masayoshi Furusho	Managing Executive Officer				
Seiichi Inaba	Executive Officer				

3. Personnel Changes (Effective as of June 17, 2019)

Masato Kamazuka	Senior Staff to Audit and Supervisory Committee		
Ken Hasegawa	General Manager, Board of Directors Office		



This material is an English translation of Japanese announcement made on June 17, 2019. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.

4.	Retirement	of	Executive	Officer	(Effective as	of	[·] June	30,	201	9)
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Noriyoshi Suzuki

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